

No. S 3

**SECURITIES MARKETS ORDER, 2013**  
(S 59/2013)

**SECURITIES MARKETS (COMPOUNDABLE OFFENCES) REGULATIONS, 2015**

**ARRANGEMENT OF REGULATIONS**

**Regulation**

1. Citation.
  2. Compoundable offences.
  3. Form.
  4. Payment.
  5. Receipt.
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(S 59/2013)

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In exercise of the power conferred by section 265 of the Securities Markets Order, the Authority, with the approval of His Majesty the Sultan and Yang Di-Pertuan, hereby makes the following Regulations –

**Citation.**

1. These Regulations may be cited as the Securities Markets (Compoundable Offences) Regulations, 2015.

**Compoundable offences.**

2. The following offences may be compounded by the Authority –

*(a)* any offence under the Order which is punishable by a fine only; or

*(b)* any offence under section 22(5), 47(6), 52(4), 125(3), 128(6), 158(3), 159(3), 164(8), 180(2), 188(3), 189(4), 190(3), 192(3), 194(3), 195(2), 196(3), 241(11), 243(3), 250, 251(3) or 261 of the Order.

**Form.**

3. An offer to compound shall be in such form as the Authority may determine.

**Payment.**

4. When an offer to compound any offence is made and accepted, payment for it –

*(a)* shall be made in cash at the place where the offer was made; or

*(b)* may be made through telegraphic transfer subject to any terms or conditions that the Authority may specify.

**Receipt.**

5. When payment is made in accordance with regulation 4, an official receipt shall be issued for such payment to the person to whom the offer to compound was made.

BRUNEI DARUSSALAM GOVERNMENT GAZETTE

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Made this 21st. day of Rabiulawal, 1436 Hijriah corresponding to the 12th. day of January, 2015.

AWANG YUSOF BIN HJ ABD RAHMAN  
Managing Director,  
Autoriti Monetari Brunei Darussalam.